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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/592,598	06/12/2000	Richard Humpleman	SAM1.0065	7055

7590 12/23/2005  
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EXAMINER
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NGUYEN, NHON D

ART UNIT	PAPER NUMBER
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2179

DATE MAILED: 12/23/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

09/592,598

Applicant(s)

HUMPLEMAN ET AL.

Examiner

Nhon (Gary) D. Nguyen

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 14 October 2005.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1, 4-14, 17-27 and 30-44 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1, 4-14, 17-27 and 30-44 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- ☐ Notice of References Cited (PTO-892)
- ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- ☐ Notice of Informal Patent Application (PTO-152)
- ☐ Other: \_\_\_\_\_

### DETAILED ACTION

1. This communication is responsive to amendment, filed 10/14/2005.
2. Claims 1, 4-14, 17-27 and 30-44 are pending in this application. In this amendment, no claim is canceled, no claim is amended, and no claim is added. This action is made final.

#### *Claim Rejections - 35 USC § 102*

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

4. Claims 1, 6-14, 19-27 and 32-44 are rejected under 35 U.S.C. 102(e) as being anticipated by Yang (US 6,133,847).

As per independent claims 1, 14, 27 and 41, Yang teaches a computer implemented method and corresponding system for providing a user interface for controlling devices that are currently connected to a network comprising the steps/means:

obtaining device information from devices currently connected to the network (col. 8, lines 10-14);

generating a user interface based at least on the obtained information (col. 8, lines 14-17), the user interface including at least one reference associated with the device information in each of said devices currently connected to the network (col. 8, lines 14-24);

displaying said user interface on one or more devices connected to the network capable of displaying a user interface, for user control of said devices that are currently connected to the network; and in response to selection of the reference, using the reference to access the device and display a control interface including device data using associated information of said device corresponding to the reference in the user interface (col. 8, lines 14-24).

As per claims 6, 19 and 32, Yang teaches further comprising the steps of:

connecting at least one client device to the network capable of displaying a user interface (e.g., device 100 of fig. 5); and displaying a user interface on the client device, for controlling devices that are currently connected to the network (col. 8, lines 14-24).

As per claims 7, 20 and 33, Yang teaches the device information in each device further includes a user control interface description for user interaction with the device (control software, col. 8, lines 18-24); and step of in response to selection of the reference further includes the steps of upon detecting user selection of a device from the user interface, accessing and then displaying the control interface description in the corresponding device for user command and control of the device (col. 8, lines 18-24).

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As per claims 8, 21 and 34, Yang teaches the step of generating a user interface description further includes the steps of generating each user interface such that the reference in that user interface description provides access to at least the information in each corresponding device (col. 8, lines 14-24).

As per claims 9, 22 and 35, Yang teaches the step of generating a user interface further includes the steps of generating each user interface such that the user interface description further includes device data corresponding to each device based on the information obtained from each device (col. 8, lines 14-24).

As per claims 10, 23 and 36, Yang teaches the device information in each device includes device identification information (col. 5, lines 41-46).

As per claims 11, 24 and 37, Yang teaches the device information in each device includes a user control interface description for user interaction with the device (col. 4, lines 6-14).

As per claims 12, 25 and 38, Yang teaches step of generating a user interface further includes the steps of generating each user interface such that each reference in that user interface is to at least the user control interface description in each corresponding device (col. 8, lines 14-24); and

step of in response to selection of the reference further includes the steps of upon detecting user selection of a device from one of said user interfaces, accessing and then

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displaying the control interface description in the corresponding device for user command and control of the device (col. 8, lines 14-24).

As per claim 13, 26 and 39, Yang teaches the step of generating a user interface further includes the steps of generating each user interface wherein that user interface further includes device data corresponding to each device based on the information obtained from each device, the device data providing reference to the user control interface description in each device (col. 4, lines 6-14 and col. 8, lines 14-24).

As per claims 40, 43 and 44, Yang teaches the step of displaying the control interface comprises the steps of:

obtaining the associated information of said device in response to the selection of the reference (col. 8, lines 14-24);

generating the control interface including the device data corresponding to said device using the associated information; and displaying the control interface on one or more devices connected to the network capable of displaying a user interface (e.g., col. 6, lines 21-34).

As per claim 42, Yang teaches the step of displaying the control interface comprises the steps of:

obtaining the associated information of said device in response to the selection of the reference (col. 8, lines 14-24);

generating the control interface including the device data corresponding to said device using the associated information; and displaying the control interface (e.g., col. 6, lines 21-34).

***Claim Rejections - 35 USC § 103***

5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

6. Claims 4, 5, 17, 18, 30 and 31 are rejected under 35 U.S.C. 103(a) as being unpatentable over Yang.

As per claims 4 and 5, which are all dependent on claim 1, Yang does not disclose the information in each device comprises an HTML page contained in that device, and the step of displaying the user interface further comprises the steps of displaying the user interface on a browser on said one or more devices capable of displaying a user interface. Examiner takes Official Notice that using hyper-text link HTML technology to implement top page graphical user interface and applying HTML link to link a selected device icon to another HTML page to display further functional control panel for controlling that particular device would have been obvious to one of ordinary skill in the art. It would have been obvious to an artisan at the time of the invention to include hyper-text link HTML pages define sets of user interface functions for multiple devices, connected to a network, that enable user interaction and control of those devices in Yang's method since hyper-text link HTML pages would allow the devices to be remotely controlled from the Internet via HTTP protocol.

As per claims 17 and 30, they are similar in scope to claim 4; therefore, they should be rejected under similar rationale.

As per claims 18 and 31, they are similar in scope to claim 5; therefore, they should be rejected under similar rationale.

### *Response to Arguments*

7. Applicant's arguments filed 10/14/2005 have been fully considered but they are not persuasive.

Applicant argued the following:

(a) Yang does not disclose generating a user interface description based at least on the obtained information from one or more of the devices currently connected to the network.

(b) Yang does not disclose the user interface description includes at least one electronic link providing direct access from the top page user interface description to at least the user control interface description contained in each corresponding device, for user interaction with that device, currently connected to the network.

(c) Yang does not disclose when a link in the user interface description is user selected, the control interface description in the corresponding device is accessed using the selected link to obtain device information and generate a device user interface for user interaction with that corresponding device.



(d) With respect to claims 6, 19, and 32, the device 100 is the remote control itself which is not a client device capable of displaying a user interface is connect to the network.

(e) With respect to claims 10, 23, and 36, Yang does not disclose the device information in each device includes device identification information.

(f) With respect to rejection of claims 4, 5, 17, 18, 30 and 31, Yang does not disclose links for direct access to control programs in appliances. As such, there is no reason or motivation to include HTML pages in appliances for access (e.g., via hyper-text links).

The Examiner disagrees for the following reasons:

(a) The system does obtain information from one or more devices currently connected to the network according to Yang's teaching at column 8, lines 10 to 14, in which "the remote control device could receive an interface control signal from each of the appliances on the network or in the room". And based on the obtained information, the system generates a user interface description including a separate icon for each appliance that is available to be controlled (col. 8, lines 14-17).

(b) According to Yang, the remote control, that uses to control the controlled devices currently connected to the network, does not contain the user control interface description of each corresponding controlled device. The user control interface description of each corresponding controlled device, that allows user interaction with that device, is contained within the corresponding controlled device and is download to the remote control device and stores in the memory (e.g., col. 4, lines 32-38). The user interface description (as explained in item (a) above) does include at least one electronic link (the user would *select the icon that represents*

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*the particular appliance*; col. 8, lines 18-19) providing direct access from the user interface description to at least the user control interface description contained in each corresponding device, which has been downloaded to the memory of the remote control (*the selection of the icon* would provide a control signal to the function interface and the functions interface would *then access the control software for that appliance from memory so that it would be configured to control the appliance selected*; col. 8, lines 19-24). It is also further notice that selection on the icon, represents the particular appliance, that leads to accessing the control software for that appliance from memory is, in fact, “linking” to the control software for that appliance from the memory.

(c) Yang does teach when a link in the top page user interface description is user selected (e.g., the user would *select the icon that represents the particular appliance*; col. 8, lines 18-19), the control interface description in the corresponding device is accessed using the activated link to obtain device information and generate a device user interface for user interaction with that corresponding device (*the selection of the icon* would provide a control signal to the function interface and the functions interface would *then access the control software for that appliance from memory so that it would be configured to control the appliance selected*; col. 8, lines 19-24).

(d) According to Yang’s teaching at column 8, lines 10-25 and figure 5, remote control 100 as well as the devices 510, 620, 530 and 540 are connected to a network server 500 and they are all client devices received services from the server 500. Furthermore, the remote control 500 is capable of displaying a user interface 140.

(e) Yang clearly teaches, at column 5, lines 40-47, TV 220 includes an interface control signal (device information) which contains “*information that uniquely identifies the particular appliance*”. Therefore, Yang clearly teaches the device information in each device includes device identification information.

(f) Yang does teach Yang links for direct access to control programs in appliances as explained in (b) and (c) above. Using HTML technology to implement top page graphical user interface, that includes top level icons representing controlled appliances, and applying HTML link to link a selected device icon to another HTML page to display further functional control panel for controlling that particular device would have been obvious to one of ordinary skill in the art. Therefore, it would have been obvious to an artisan at the time of the invention to include hyper-text link HTML pages define sets of user interface functions for multiple devices, connected to a network, that enable user interaction and control of those devices in Yang’s method since hyper-text link HTML pages would allow the devices to be remotely controlled from the Internet via HTTP protocol.

### ***Conclusion***

8. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period

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will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

### *Inquiries*

9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Nhon (Gary) D. Nguyen whose telephone number is (571)272-4139. The examiner can normally be reached on Monday - Friday with every other Monday off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Weilun Lo can be reached on (571)272-4847. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Nhon (Gary) Nguyen  
December 20, 2005



**WEILUN LO**  
**SUPERVISORY PATENT EXAMINER**